

TRANSMITTAL FORM

NOV 04 1999

| | |
|---------------------------|------------------|
| Application Serial Number | 09/356,600 |
| Filing Date | July 19, 1999 |
| First Named Inventor | William Duane |
| Group Art Unit | 2766 |
| Examiner Name | Not yet assigned |
| Attorney Docket No. | SDT-040 |

ENCLOSURES (check all that apply)

| | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <ul style="list-style-type: none"> <input type="checkbox"/> Formal Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <ul style="list-style-type: none"> <input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>) |
| <input type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <ul style="list-style-type: none"> <input type="checkbox"/> To Convert to a Provisional Application | <input type="checkbox"/> Status Letter <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Return Receipt Postcard |
| <input checked="" type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <ul style="list-style-type: none"> <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <ul style="list-style-type: none"> <input type="checkbox"/> Additional Enclosure(s) (<i>please identify below</i>) |
| <input type="checkbox"/> Information Disclosure Statement Form PTO-1449 <ul style="list-style-type: none"> <input type="checkbox"/> Copies of IDS Citations | <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility Application <ul style="list-style-type: none"> <input type="checkbox"/> Small Entity Statement | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund <ul style="list-style-type: none"> <input type="checkbox"/> After Allowance Communication to Group | |
| <input checked="" type="checkbox"/> Response to Notice to File Missing Parts | | |

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibeault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Date: Nov 1, 1999
 Reg. No. 43,093
 Tel. No.: (617) 248-7027
 Fax No.: (617) 248-7100


 Michael J. Schmelzer
 Attorney for Applicants
 Testa, Hurwitz & Thibeault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|--|---|--|
| 09/356,600 | O I P 07/19/99 NOV 04 1999 JC42 | DIANE 021323 TESTA HURWITZ & THEBEAULT HIGH STREET 125 HIGH STREET BOSTON MA 02110 12/12 12/00 | W SDT-040 0242/0812 NOT ASSIGNED 2766 |

DATE MAILED:

08/12/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) or \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
 small entity (statement filed) non-small entity is \$ 130.

1. The statutory basic filing fee is:

missing
 insufficient

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ _____ for total claims over 20.

\$ _____ for independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.
 does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration.

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

[Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202

FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision

NOV 04 1999

| Complete if Known | |
|---------------------------|------------------|
| Application Serial Number | 09/356,600 |
| Filing Date | July 19, 1999 |
| First Named Inventor | William Duane |
| Group Art Unit | 2766 |
| Examiner Name | Not yet assigned |
| Attorney Docket No. | SDT-040 |

METHOD OF PAYMENT

Payment Enclosed:
 Check Money Order Other

2. The Commissioner is hereby authorized to credit or charge any fee indicated below to Deposit Account No. 20-0531.

Required Fees (copy of this sheet enclosed).
 Additional fee required under 37 CFR 1.16 and 1.17.
 Overpayment Credit

FEE CALCULATION

1. FILING FEE

Large Entity

| Fee (\$) | Fee Description | Fee Paid |
|----------|------------------------|----------|
| 760 | Utility filing fee | |
| 310 | Design filing fee | |
| 150 | Provisional filing fee | |

| | Number Filed | Number Extra | Rate | Amount |
|--|--------------|--------------|--------------|--------|
| Total Claims | - 20 = | | x \$ 18.00 = | |
| Independent Claims | - 3 = | | x \$ 78.00 = | |
| <input type="checkbox"/> Multiple Dependent Claim(s), if any | | | \$260.00 = | |
| TOTAL: | | | | |

SMALL ENTITY DISCOUNT:
SUBTOTAL (1) (\$)

2. AMENDMENT CLAIM FEES

| Claims Remaining | Highest No. Previously | Present Extra | Rate | Fee Paid |
|------------------|------------------------|---------------|--------------|----------|
| After Amend. | Paid For | | | |
| Total | - = | | x \$ 18.00 = | |
| Indep. | - = | | x \$ 78.00 = | |

First Presentation of Multiple Dep. Claim

TOTAL: (\$)
SMALL ENTITY DISCOUNT: (\$)
SUBTOTAL (2) (\$)

SUBTOTAL (3) (\$) 240.00

SUBTOTAL (1) (\$)
SUBTOTAL (2) (\$)
SUBTOTAL (3) (\$) 240.00

TOTAL (\$) 240.00

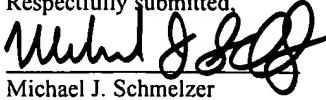
CORRESPONDENCE ADDRESS

Direct all correspondence to:

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SIGNATURE BLOCK

Respectfully submitted,


 Michael J. Schmelzer
 Attorney for Applicants
 Testa, Hurwitz & Thibeault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110



PATENT
Attorney Docket No. SDT-040
(7216/55)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Duane et al.
SERIAL NO.: 09/356,600 GROUP NO.: 2766
FILING DATE: July 19, 1999 EXAMINER: Not yet assigned
TITLE: SYSTEM AND METHODS FOR MAINTAINING AND
DISTRIBUTING PERSONAL SECURITY DEVICES

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice to File Missing Parts dated August 12, 1999, enclosed is the executed Declaration/Power of Attorney and Small Entity for filing in the application identified above. Also enclosed is a check in the amount of \$240.00 in payment of the surcharge in compliance with 37 C.F.R. 1.27 and a one month extension of time.

Please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter.

Respectfully submitted,

Date: Nov 1, 1999
Reg. No. 43,093

Tel. No. (617) 248-7027
Fax: (617) 248-7100


Michael J. Schmeizer
Attorney for Applicants
Testa, Hurwitz, & Thibeault
High Street Tower
125 High Street
Boston, Massachusetts 02110



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PATENT
Attorney Docket No. SDT-040
(7216/55)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Duane et al.
SERIAL NO.: 09/356,600 GROUP NO.: 2766
FILING DATE: July 19, 1999 EXAMINER: Not yet assigned
TITLE: SYSTEM AND METHODS FOR MAINTAINING AND
DISTRIBUTING PERSONAL SECURITY DEVICES

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231, Attention: Box Missing Parts on this 1st day of November, 1999.

November 1, 1999
Date of Signature


Brenda T. Kowalcuk

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

Submitted herewith are:

Transmittal Form (1 pg.); Fee Transmittal (1 pg.); Extension of Time (1 pg.); Copy of the Notice to File Missing Parts of Application (PTO-1533) (1 pg.); Response to Notice to File Missing Parts (1 pg.); Declaration & Power of Attorney for Utility Application (3 pg.) check in the amount of \$240.00; and a mailroom postcard and this Certificate of First Class Mailing.